



LOMBARD ODIER
LOMBARD ODIER DARIER HENTSCH

List of Execution Venues

Banque Lombard Odier & Cie SA

Preface

This document sets out the execution venues where Banque Lombard Odier & Cie SA (hereafter referred as “Lombard Odier”) executed client orders in the preceding year in relation to dealings in financial instruments, as defined by the Markets in Financial Instruments Directive 2014/65/EU (“MIFID II”). Several venues may be used for the execution of one order.

This list should be read in conjunction with the Order Execution Policy of Banque Lombard Odier & Cie SA published at www.lombardodier.com.

Banque Lombard Odier & Cie SA is a Bank with its registered office in Switzerland, authorised and supervised by the Swiss Financial Market Supervisory Authority FINMA.

CLASS OF FINANCIAL INSTRUMENTS	ACCESS	VENUES
Equities		
Swiss equities & ETFs	Membership	SIX Swiss Exchange Cboe Europe LSE Turquoise
Other equities & ETFs	Brokers	Other regulated markets (NYSE, Euronext, Xetra, etc.), MTFs or systematic internalisers OTC market
ETFs	Membership	Tradeweb Europe
Debt instruments (Bonds)		
Swiss bonds and convertible bonds	Membership	SIX Swiss Exchange
Other bonds and convertible bonds E.g.: government bonds, corporate bonds, asset-backed securities, etc.	Membership	Tradeweb Europe, MarketAxess Europe (UK), MarketAxess Netherlands, MarketAxess Singapore, Bloomberg Trading Facility Europe, Bloomberg Trading Facility (UK), Bloomberg Tradebook Singapore
	Brokers	OTC market
Debt instruments (Money Market)		
Swiss money market	Membership	SIX Swiss Exchange
Other money markets Examples: certificates of deposit, Euro commercial paper (ECP), treasury bills, UK treasury, etc.	Brokers	OTC market
Derivatives		
Exchange traded derivatives Examples: options on equities and index, futures	Brokers	Eurex Deutschland, CME, Nymex, Cboe, ICE Futures Europe
OTC equity or index options and OTC FX and metals options	N/A	Lombard Odier (as principal)
OTC money market or fixed income derivatives	Brokers	OTC market
Other financial instruments		
Swiss structured products	Membership	SIX Swiss Exchange
Other structured products Examples: growth products (e.g. certificate, etc.), mixed products (e.g. capital guaranteed, etc.), income products (e.g. reverse convertibles, auto-call, etc.) Examples of underlying: equity, credit, forex, interest rate, commodity	Brokers	OTC market
Units in collective investment undertakings	Transfer agents	OTC market
FX Forwards	N/A	Lombard Odier (as principal)