



LOMBARD ODIER
LOMBARD ODIER DARIER HENTSCH

Top 5 Execution Venues reporting of Lombard Odier (Europe) SA

Preface

This document sets out the top five execution venues (hereafter “Top 5 Venues Report”) where Lombard Odier (Europe) SA (hereafter referred as “Lombard Odier”) executed client orders in the preceding year in relation to dealings in financial instruments, as required by the Markets in Financial Instruments Directive (“MIFID II”) 2014/65/EU. This document covers Lombard Odier and its branches in Belgium, France, Italy, Spain and the United Kingdom.

This Top 5 Venues Report is linked to the principles defined in the Order Execution Policy of Lombard Odier (hereafter “Order Execution Policy”; available on the Lombard Odier website) and is intended to cover the period from January 1st, 2020 to December 31st, 2020.

Lombard Odier is a Bank with its registered office in Luxembourg, authorized and supervised by the Commission de Surveillance du Secteur Financier (CSSF). In compliance with the requirements of MiFID II, Lombard Odier applies the standards that are stipulated by the regulation in particular with the RTS 28.

As a rule and in consideration with its Order Execution Policy, Lombard Odier entity does not execute client orders but transmits these orders for execution to Banque Lombard Odier & Cie SA in Geneva (hereafter “Lombard Odier & Co, Geneva”), its parent company.

TOP 5 BROKERS		Currency derivatives	
Class of financial instruments			
Notification if <1 average trade per business day in the previous year		No	
Top five executions brokers ranked In terms of trading volumes (descending order)	Proportion of volumes traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of directed orders
Lombard Odier & Co, Geneva 4BY1ZWG5DYMF1HP5KL86	100%	100%	0%

TOP 5 BROKERS		Debt instruments	
Class of financial instruments			
Notification if <1 average trade per business day in the previous year		No	
Top five executions brokers ranked In terms of trading volumes (descending order)	Proportion of volumes traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of directed orders
Lombard Odier & Co, Geneva 4BY1ZWG5DYMF1HP5KL86	100%	100%	0%

TOP 5 BROKERS		Shares & Depositary receipts	
Class of financial instruments			
Notification if <1 average trade per business day in the previous year		No	
Top five executions brokers ranked In terms of trading volumes (descending order)	Proportion of volumes traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of directed orders
Lombard Odier & Co, Geneva 4BY1ZWG5DYMF1HP5KL86	100%	100%	0%

TOP 5 BROKERS		Interest rate derivatives	
Class of financial instruments			
Notification if <1 average trade per business day in the previous year		No	
Top five executions brokers ranked In terms of trading volumes (descending order)	Proportion of volumes traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of directed orders
Lombard Odier & Co, Geneva 4BY1ZWG5DYMF1HP5KL86	100%	100%	0%

TOP 5 BROKERS		Structured finance instruments	
Class of financial instruments			
Notification if <1 average trade per business day in the previous year		No	
Top five executions brokers ranked In terms of trading volumes (descending order)	Proportion of volumes traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of directed orders
Lombard Odier & Co, Geneva 4BY1ZWG5DYMF1HP5KL86	100%	100%	0%

TOP 5 BROKERS		Equity derivatives	
Class of financial instruments			
Notification if <1 average trade per business day in the previous year		No	
Top five executions brokers ranked In terms of trading volumes (descending order)	Proportion of volumes traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of directed orders
Lombard Odier & Co, Geneva 4BY1ZWG5DYMF1HP5KL86	100%	100%	0%