

Lombard Odier Asset Management (Europe) Limited

Appendix I of the Best Execution Policy - Execution Venues Used

Lombard Odier Asset Management (Europe) Limited may use the following multilateral trading facilities, brokers and counterparties when seeking best execution as defined under applicable regulations. The list below may be subject to change and may be revised from time to time. In exceptional circumstances, the Firm may use brokers not on the approved list. In addition, Lombard Odier Asset Management (Europe) Limited will add and remove brokers to and from the approved list in accordance with its regular practices as described in the execution policy. This appendix I may not reflect such additions and removals until the next update of the execution policy, which may be in connection with the annual review described in the execution policy.

List of Execution Venues

ABG Sundal Collier Norge ASA, Oslo	Brownstone Investment Group, LLC
AON Securities Inc	BTIG Limited
Aurel BGC S.A.S.	BTIG, LLC
Australia and New Zealand Banking Group Ltd	Caceis Bank France
Avior Capital Markets (Pty) Ltd	Caceis Bank Luxembourg
Banco Barclays SA	Canadian Imperial Bank of Commerce
Banco Bilbao Vizcaya Argentaria Colombia SA	Cannacord Genuity Group Inc.
Banco Bilbao Vizcaya Argentaria SA	Cannacord Genuity Limited
Banco Santander (Brasil) S.A.	Cantor Fitzgerald & Co.
Banco Santander Chile	Cantor Fitzgerald Europe
Banco Santander De Negocios Colombia S.A.	Capital One Securities, Inc
Banco Santander SA	Carnegie Investment Bank AB
Bank am Bellevue	CF Global Trading, LLC
Bank Lombard Odier & Co Ltd	Citadel Securities Europe Limited
Bank of America Securities Ltd.	Citbank NA
Bank of Montreal Capital Markets Corp.	Citibank Europe PLC
Bank of Montreal Capital Markets Ltd.	Citibank Korea Inc., Seoul
Bank Vontobel AG	CITIC Securities Co, Ltd
Banque Pictet & Cie SA	Citigroup Global Markets Inc.
Barclays Bank Plc	Citigroup Global Markets Korea Securities Limited
Barclays Capital Inc.	Citigroup Global Markets Limited
Barclays Capital Securities Limited	Citigroup Global Markets Taiwan Securities Co Ltd
Barclays Capital Securities Limited - Seoul Branch	CLSA India Private Limited.
Barclays Capital Securities Taiwan Limited	CM-CIC Securities
Barclays Securities (India) Private Ltd, Mumbai	Commerzbank AG
BBVA Chile S.A.	Commonwealth Bank of Australia
BBVA Securities Inc.	Credit Agricole Corporate and Investment Bank
BCP Securities LLC	Credit Agricole SA
BGC Brokers LP	Credit Agricole Securities (USA) Inc.
BMO Capital Markets Corp.	Credit Suisse (Brasil) S.A. Corretora de Títulos e Valores Mobiliários
BNP Paribas SA	Credit Suisse (Hong Kong) Limited
BNY Mellon Corporation	Credit Suisse Group AG
BOCI Securities Limited	Credit Suisse International
BRED Banque Populaire	

Lombard Odier Asset Management (Europe) Limited

Credit Suisse Securities (Europe) Ltd
Credit Suisse Securities (India) Private Ltd
Credit Suisse Securities (USA) LLC
Daiwa Capital Markets Europe Limited
Danske Bank A/S
DBS Bank Ltd.
Dekabank
Deutsche Bank AG
Deutsche Bank Securities Inc.
Deutsche Securities Korea Co. Ltd.
DNB Bank ASA
Dowgate Capital Stockbrokers Limited
DSP Merrill Lynch Limited
Edelweiss Securities Limited
Evercore Group LLC
Exane Derivatives SNC
Exane SA
Exotix Ltd.
Exotix Partners Llp
FirstEnergy Capital (USA) Corp.
Fubon Securities Co., Ltd.
Goldman Sachs Execution & Clearing, L.P.
Goldman Sachs International
Goldman, Sachs & Co
Goodbody Stockbrokers
Gottex Brokers SA
Guotai Junan Securities (HK) Ltd
Haitong International Sec. Co. Ltd.
Helvea SA
HSBC Bank Plc
HSBC Securities & Capital Markets (India) Private Ltd
HSBC Securities USA Inc.
ICAP Financial Products Pte Ltd
ICAP North America Inc.
ICAP Securities Ltd.
ICBC International Sec. Ltd
Imperial Capital (International) LLP
Imperial Capital, LLC
Industrial and Commercial Bank of China Financial Services LL
ING Bank NV
Instinet Europe Limited
Investment Technology Group Limited (ITGL)
Investment Technology Group, Inc.
ISM Capital
Itau Corretora de Valores SA
J&E Davy
Jane Street Financial Ltd, London
Jefferies India Private Limited
Jefferies International Limited
Jefferies LLC
Jefferies Bach Limited
JMP Securities Llc
Joh. Berenberg, Gossler & Co. KG
Jones Trading Institutional Services LLC
Jones Trading International Ltd
JP Morgan Cazenove Ltd
JP Morgan Chase NA
JP Morgan Securities (Asia Pacific) Ltd
JP Morgan Securities (Far East) Ltd
JP Morgan Securities LLC
JP Morgan Securities Plc
KBC Financial Products UK Limited
Kempen & Co N.V.
Kepler Chevreux SA
KeyBanc Capital Markets Inc.
KGI Asia Limited
KNG Securities LLP
Kotak Mahindra UK Ltd
Larrain Vial S.A. Corredora de Bolsa
Lazard Capital Markets LLC
Lazard Freres & Co Llc
Leerink Partners LLC
Liberum Capital Ltd
Liquidnet Europe Ltd.
Liquidnet, Inc.
Lloyds TSB Bank Plc
Lombard Odier Transatlantic, LP
Louis Capital Markets UK LLP
Macquarie Bank Limited
Macquarie Capital (Europe) Limited
Macquarie Capital (USA) Inc.
Macquarie Capital Markets Canada
Macquarie Equities (Asia) Limited
Macquarie India
MainFirst Bank AG
Makor Securities LLP
Marex Financial Limited
MarketAxess Europe Limited
McCall Aitken Mckenzie & Co Ltd
Mediobanca Spa
Merrill Lynch & Co. Inc.
Merrill Lynch International
Merrill Lynch Pierce Fenner & Smith Inc.
Millennium Advisors LLC
Millennium Europe Ltd
Mirae Asset Daewoo Co., Ltd
Mirsec Partners LLP
Mitsubishi UFJ Securities International Plc
Mitsubishi UFJ Trust International Ltd
Mizuho International PLC

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Mizuho Securities USA Inc.
MKM Partners, LLC
MMC Securities Corp
Morgan Stanley & Co International Plc
Morgan Stanley & Co. LLC
Morgan Stanley Securities India Pvt Ltd
National Australia Bank Europe Limited
Natixis
Newedge Group SA
Nomura Financial Investment (Korea) co, Ltd
Nomura Fixed Income Sec. Private Ltd
Nomura International Plc
Nordea Bank Finland plc
Novum Securities Ltd.
Numis Securities Ltd
Octo Finances SA
Oddo & Cie
Olivetree Financial Ltd
Oppenheimer & Co. Inc.
Oversea-Chinese Banking Corporation Limited
Pacific Crest Securities LLC
Pareto Securities AS
Peel Hunt LLP
Pershing LLC
Pershing Securities Limited
Piper Jaffray & Co
R.W.Pressprich & Co.
Rabobank Nederland
Raiffeisen Switzerland Cooperative
Raymond James & Associates, Inc
Raymond James (USA) Ltd.
RBC Capital Markets LLC
RBC Dominion Securities
RBC Europe Ltd.
Redburn (USA) LLC
Robert W. Baird & Co. Incorporated
Royal Bank of Scotland PLC
Sanford C. Bernstein & Co., LLC
Sanford C. Bernstein Limited
Santander Investment Bolsa S.V. SA
SC Lowy Financial (HK) Ltd
Scotiabank Europe Plc.
Seaport Global Securities, LLC
SG Securities (HK) Limited
Shore Capital Stockbrokers Limited
Simmons & Company International
Skandinaviska Enskilda Banken AB
SMBC Nikko Capital Markets Ltd.

Société Générale Newedge UK Limited
Société Générale SA
Société Générale Securities Services S.p.A
Standard Bank London
Standard Chartered Bank
Standard Chartered Securities Korea Limited
State Street Global Markets International Limited
Sterne Agee & Leach Inc.
Stifel Nicolaud Europe Limited
Stifel Nicolaus & Co, Inc
Sumridge Partners, LLC
Suntrust Robinson Humphrey, Inc.
Susquehanna Financial Group, LLLP
Susquehannah International Securities Ltd, Dublin
The Hong Kong and Shanghai Banking Corporation
Limited Seoul Securities Branch (SLS)
The Seaport Group Europe LLP
Toronto Dominion Bank
Tradeweb Europe Limited
Tradition Asiel Securities Inc.
Tradition Asiel Securities Inc.
Tudor, Pickering, Holt & Co. Securities
UBS AG
UBS Financial Services Inc.
UBS Limited
UBS Securities Asia Ltd
UBS Securities LLC
Unicredit Bank AG
Unlu Menkul Degerler A.S.
Wallich & Matthes B.V.
Wedbush Securities
Wells Fargo Securities International Limited
Wells Fargo Securities LLC
Westpac Banking Corporation
William Blair & Co LLC
Zürcher Kantonalbank

BlockMatch
Bloomberg Multilateral Trading Facility
Cboe Europe Equities MTF – Cboe Large in Scale
Service
EBS MTF – EBS Institutional
Liquidnet Europe Equities
MarketAxess Europe MTF
POSIT
Reuters Transaction Services Limited – FXall RFQ
Tradeweb Europe Limited MTF
REDI